

Executive Registry

66-2194/a

10 JUN 1966

✓
and
Mr. Walter T. Skallerup, Jr.
Deputy Assistant Secretary for
Security Policy
Department of Defense
Washington, D. C.

Dear Mr. Skallerup:

We have reviewed the revised draft of the
proposed instruction pertaining to NATO atomic
information which you sent us on 12 May 1966.
The Central Intelligence Agency concurs in this
draft.

Sincerely,

/s/ Richard Helms

Richard Helms

OS:HJOsborn (6 June 1966)

Rewritten:O-ExDir:HKnoche:jrf (7 June 1966)

Distribution:

0 - Adse

1 - ER

2 - DD/S

OSD REVIEW COMPLETED

(EXECUTIVE REGISTRY FILE *Richard Helms*)

13218 626 2933
Executive Registry

66-2194/2

MEMORANDUM FOR: The Honorable Robert S. McNamara
The Secretary of Defense

SUBJECT : Implementation of the "Confidential Security
Annex to the Agreement Between the Parties
to the North Atlantic Treaty for Cooperation
Regarding Atomic Information"

This Agency concurs in the draft of subject reference which
was forwarded to me on 12 May 1966 by Mr. Walter T. Skallerup,
Jr. of your Department.

Richard Helms
Deputy Director

Distribution:

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1 - ER ✓
2 - DDS

ORIGINATOR:

STAT

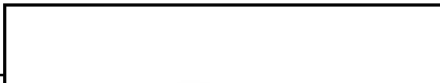


Howard J. Osborn
Director of Security

6/6/66
Date

CONCUR:

STAT



R. L. Bannerman
Deputy Director
for Support

6 June 66
Date

12/28/66-2932

CONFIDENTIAL

Executive Registry

66-284/1

3 JUN 1966

MEMORANDUM FOR: Deputy Director of Central Intelligence

THROUGH : Deputy Director for Support

SUBJECT : Implementation of the "Confidential Security Annex to the Agreement Between the Parties to the North Atlantic Treaty for Cooperation Regarding Atomic Information"

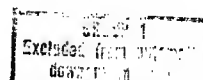
1. This memorandum suggests action on the part of the DDCI; this action is contained in paragraph 4.

2. The United States Security Authority for NATO Affairs (USSA) is requesting the comment or concurrence of this Agency in a revised draft instruction (Attachment 1) which implements the Security Annex of subject reference and is based on comments and recommendations furnished by other interested agencies. This Agency concurred in the original draft on 21 September 1965 (Attachment 2).

3. The revised draft of the proposed USSA instruction is more specific than the original as to procedures for safeguarding atomic documents in such matters as marking, maintenance of records, inventories, reproduction, clearance and access. This draft has added instructions on security education and classified contracts.

4. Although the revised draft will apply Government-wide, there does not appear to be any problem as far as the Agency is concerned since the procedures involve only the safeguarding of atomic information released to NATO. It is, therefore, recommended that the attached memorandum (Attachment 3) be signed

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and forwarded to the Secretary of Defense.

5. The revised draft has been coordinated with the CIA COSMIC Control Officer and the Nuclear Energy Division, Office of Scientific Intelligence.



Howard J. Osborn
Director of Security

ILLEGIB

3 Atts

- 1 - DOD memo dtd 12 May 1966 and
USSA Instruction 1-66
- 2 - DDCI memo dtd 21 Sept. 1965
and original draft
- 3 - Memo for signature of DDCI

Distribution:

- Orig. - Ret. to OS
- 1 - ER ✓
- 2 - DDS

~~CONFIDENTIAL~~



OFFICE OF THE SECRETARY OF DEFENSE
WASHINGTON, D.C. 20301

Executive Registry
166-2194

12 MAY 1966

Mr. Richard Helms
Deputy Director
Central Intelligence Agency
Washington, D. C. 20505

Dear Mr. Helms:

Reference is made to our letter of August 31, 1965, forwarding a copy of a draft Instruction proposed for issuance by the United States Security Authority for NATO Affairs, and asking for the concurrence or comment of the Central Intelligence Agency, and to your reply of September 21, 1965.

Attached is a revised draft of this proposed Instruction which reflects the consideration given by this office to the comments and recommendations received from other interested Agencies within and outside of the Department of Defense.

We will appreciate receiving your concurrence or comment on the revised version within thirty days.

FOR THE UNITED STATES SECURITY AUTHORITY FOR NATO AFFAIRS

Walter T. Skallerup, Jr.

Attachment

DRAFT

NUMBER

DATE



Department of Defense Instruction

SUBJECT Safeguarding NATO Atomic Information Under the 1964 North Atlantic Treaty for Co-operation Regarding Atomic Information

Refs.: (a) USSA Instruction 1-66, Subj: "Implementation of the 'Security Annex to the Agreement Between the Parties to the North Atlantic Treaty for Co-operation Regarding Atomic Information' (U)"
(b) DoD Directive C-5210.26, dated June 23, 1956, entitled "Accountability Procedures for Transfer of Atomic Information to NATO (U)"

Encl: (1) Copy of Reference (a)

I. PURPOSE and APPLICABILITY

To implement throughout the Department of Defense the policy established by the United States Security Authority for NATO Affairs concerning the safeguarding of ATOMAL information, as defined in enclosure 1. This DoD Instruction is applicable to all DoD Components and to all elements of the Office of the Secretary of Defense.

II. CANCELLATION

DoD Directive C-5210.26, reference (b), is cancelled by DoD Transmittal 66- .

III. IMPLEMENTATION

Two copies of implementations by Department of Defense Components will be furnished to the Assistant Secretary of Defense (Administration) within 60 days of the date of this Instruction. Two copies of all amendments and supplements to original implementations will be furnished to the Assistant Secretary of Defense (Administration) within fifteen days of promulgation.

UNITED STATES SECURITY AUTHORITY FOR NATO AFFAIRS

USSA Instruction 1-66

SUBJECT: Implementation of the "Confidential Security Annex to the Agreement Between the Parties to the North Atlantic Treaty for Co-operation Regarding Atomic Information"

- Refs.:
- (a) NATO SECRET Document, "Agreement Between the Parties to the North Atlantic Treaty for Co-operation Regarding Atomic Information" (U), attachment to NATO Document C-M(64)39, dated May 5, 1964, including the Technical Annex (S) and Security Annex (C) thereto, signed in Paris, France, June 18, 1964, effective March 12, 1965.
 - (b) NATO CONFIDENTIAL Document C-M(65)11, dated February 26, 1965, "Administrative Arrangements to Support the 1964 Agreement Between the Parties to the North Atlantic Treaty for Co-operation Regarding Atomic Information" (U).
 - ✓(c) "JAIEG Detailed Operating Procedures," DOD-AEC Joint Atomic Information Exchange Group (JAIEG), dated September 25, 1961, as amended (U).
 - (d) CONFIDENTIAL-Modified Handling Authorized USSA Instruction 1-56, dated February 9, 1956, "Implementation of NATO Security Procedures," as amended (U).
 - (e) CONFIDENTIAL USSA Instruction 1-61, dated May 16, 1961, "NATO Supplemental Security Principles and Practices" (U).
 - (f) CONFIDENTIAL-Modified Handling Authorized USSA Instruction 2-56, dated June 23, 1956, "Accountability Procedures for Transfer of Atomic Information to NATO" (U), hereby cancelled and superseded.

I. PURPOSE

The purpose of this United States Security Authority (USSA) Instruction is to implement the Security Annex referred to in reference (a), throughout the United States Government.

II. CANCELLATION

USSA Instruction 2-56 (ref. f.) is cancelled hereby and superseded.

III. APPLICABILITY

This Instruction applies to all United States Civil and Military Departments, Agencies, and Commands, including units earmarked for NATO Commands,

with respect to ATOMAL information. United States military units earmarked for NATO Commands, which hold ATOMAL information received directly from those Commands, will comply with the guidance of, and be subject to inspections by, the issuing NATO Command for the security of such information.

IV. BACKGROUND and DEFINITIONS

- A. "Atomic Information," for the purposes of this Instruction, is material which is designated by the United States Government as "Restricted Data" (RD) or "Formerly Restricted Data" (FRD).
- B. "ATOMAL Information," for the purposes of this Instruction, is United States atomic information communicated to NATO under the provisions of reference (a).

V. PROCEDURE

A. General

- 1. United States Military and Civilian Agencies shall comply with the procedure contained in references (d), and (e), together with guidance contained herein, for safeguarding ATOMAL information.
- 2. The COSMIC control procedure required for COSMIC documents by reference (d) shall apply to COSMIC ATOMAL and SECRET ATOMAL documents, and to those CONFIDENTIAL ATOMAL documents upon which special limitations have been imposed. The Control Officers of COSMIC Central Registries, Sub-registries, and Control Points are responsible for ATOMAL documents in the same manner as they are for COSMIC documents under reference (d).

B. Marking of ATOMAL Documents

1. ATOMAL documents shall be marked as follows:
 - (a) The word "ATOMAL" shall be placed at the top and bottom of each page; and
 - (b) The statement, "This document contains United States atomic information (Restricted Data, or Formerly Restricted Data) made available pursuant to the NATO 'Agreement Between the Parties to the North Atlantic Treaty for Co-operation Regarding Atomic Information,' signed June 15, 1964, and will be safeguarded accordingly" shall be placed on the face of each document.
2. Holders of documents which in fact contain ATOMAL information but are not so marked, shall mark such documents as indicated above when notified that such documents are ATOMAL. When so notified, a review shall be made to determine if any extracts or reproductions of the ATOMAL information contained therein have been made, and such extracts and reproductions also shall be marked as indicated above.
3. Holders of NATO documents not marked to indicate the inclusion of ATOMAL information, who have reason to believe that any such document contains ATOMAL information, shall request a determination of the content through channels from the issuing NATO Agency or Command. Should the document be determined to contain ATOMAL information, the issuing NATO Agency or Command shall notify all holders to mark the document ATOMAL, and

notify the Chief, Joint Atomic Information Exchange Group (JAIEG).

C. Accountability

1. Records

- (a) Accountability records shall be maintained for COSMIC ATOMAL and SECRET ATOMAL documents, and also for those CONFIDENTIAL ATOMAL documents upon which special limitations have been imposed. Records of the receipt, transmission, reproduction, and destruction shall be the same as those prescribed for COSMIC documents by ref. (d), and shall be maintained separately from the records controlling other NATO material. Where required by special limitations imposed on the documents, or if required by procedure of the recipient agencies for documents containing RD or FRD, a record of all persons having access to each ATOMAL document shall be maintained until there is no longer a need for the document and it is destroyed.
- (b) Holders of all COSMIC ATOMAL, SECRET ATOMAL, and such CONFIDENTIAL ATOMAL documents upon which special limitations have been imposed, shall assure that they are recorded in the appropriate sub-registry in accordance with subparagraph 1 (a), above.

2. Inventories

ATOMAL documents subject to accountability in accordance with paragraph 1, above, shall be inventoried as of December 31, annually. Inventory reports, in the format used for COSMIC

documents, shall be forwarded by sub-registries through appropriate administrative channels of the agency conducting the inventory to the Central United States Registry in time to arrive no later than February 1, annually. The inventory report covering ATOMAL documents shall be prepared separately from that covering COSMIC documents.

D. Reproduction

1. Reproductions, including translations and extracts of COSMIC ATOMAL and SECRET ATOMAL documents, may not be made without written approval of the Chief, JAIEG, or his designee, except where express authorization for reproduction is contained on the document or in the letter of transmittal. Reproductions, including translations and extracts of CONFIDENTIAL ATOMAL documents, also may not be made without written approval of the same authority, when the letter of transmittal or face of the document contains such limitation. CONFIDENTIAL ATOMAL documents which do not contain reproduction limitations shall be reproduced only in quantities sufficient to meet current requirements. Where required, requests for authority to reproduce shall be forwarded through appropriate administrative channels.

2. Classification of Reproduction

Reproductions, including translations and extracts, of ATOMAL documents shall contain all of the markings which appear on the original documents from which the reproductions are made, and

shall be subject to the same document controls applicable to the original documents. However, where only extract portions of documents are reproduced, and the source documents have separate paragraph classifications, the reproductions shall be classified at least as high as the portions of the documents from which the reproductions are made.

E. Access

ATOMAL information shall be disseminated on a strict "need-to-know" basis. Each Sub-registry or Control Point shall maintain a current record of those persons within the Department or Agency serviced who are authorized access to ATOMAL information, which record shall show the highest level of classification to which access is authorized.

F. Personnel Security Clearance

1. Each NATO member State is responsible for clearing personnel subject to its jurisdiction. Access to ATOMAL information is not authorized unless the individual concerned is a national of one of the NATO member States. ATOMAL information, by definition, is United States RD or United States FRD; accordingly, personnel may be authorized access to ATOMAL information only when they have been authorized access to RD or FRD by the responsible authority of the Department or Agency by which employed. Interim clearances shall not be accepted as the bases for access to ATOMAL information.

2. Each clearance shall be reviewed, as the occasion demands, to insure that it conforms with the current standards applicable to the individual's employment, and shall be re-examined as a matter of priority when information is received which indicates that continued employment involving access to ATOMAL information may no longer be consistent with the interests of national security.

G. Security Education

The Head of each Department and Agency shall establish and maintain an adequate program to assure that all individuals authorized access to ATOMAL information are informed of their responsibilities to safeguard that information. The program shall include an initial indoctrination and orientation presentation, periodic re-emphasis of individual responsibilities, and a termination interview stressing the continuing responsibilities for protection of ATOMAL information.

H. Classified Contracts

Every classified contract, sub-contract, consultant agreement or other arrangement entered into by any United States Department or Agency, the performance of which involves access to ATOMAL information, shall impose security requirements no less restrictive than the requirements of this Instruction.

VI. COMMUNICATION OF ATOMAL DOCUMENTS

Communications of ATOMAL documents to NATO member States or to NATO elements shall be in accordance with the procedure established under refs. (b) and (c).

VII. IMPLEMENTATION

Two copies of all implementations by United States Departments and Agencies shall be furnished to the USSA within sixty days of the date of this Instruction. Two copies of any amendments or supplements by United States Departments or Agencies to their original implementation shall be furnished to the USSA within fifteen days of promulgation.

VIII. EFFECTIVE DATE

This Instruction is effective immediately.

Attachment

1. Text of (a) 42 USC Sections 2274-77, incl., and (b) 50 USC 783